Ortho-Directed Lithiation Studies of 3-Carboxy-@-carbolines: A Direct Route to 4-Substituted Derivatives

Anita Mehta and Robert H. Dodd'

Institut de Chimie des Substances Naturelles, Centre *National* de la Recherche Scientifique, **91198** Cif-sur- Yuette Cedez, France

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Recent interest in the synthesis of functionalized pyrido- $[3,4-b]$ indoles (i.e., β -carbolines) has been largely due to the high affinity which some of these compounds possess for the benzodiazepine receptors of the central nervous system and the various pharmacological activities which they display as a result.¹⁻³ Structure-activity studies have revealed the importance of substitution at the 3 and 4 positions **as** well **as** on the aromatic A ring in determining both the affinity and the type of *in vivo* activity demonstrated by these compounds. In particular, the most active β -carboline derivatives have in common a carboxyl group at the C-3 position' and an alkyl (e.g., ZK 93426, la; DMCM, **lb)** or alkoxy substituent at C-4 (e.g., ZK 93423, **lc**).⁵ Substituted β-carbolines are almost universally syn-

18 $R^1 = C_2H_5$; $R^2 = CH_3$; $R^3 = CH(CH_3)_2$; $R^4 = R^5 = H$ (ZK 93426)

1b $R^1 = CH_3$; $R^2 = C_2H_5$; $R^3 = H$; $R^4 = R^5 = OCH_3$ (DMCM)

1c $R^1 = C_2H_5$; $R^2 = CH_2OCH_3$; $R^3 = R^5 = H$; $R^4 = OCH_2Ph$ (ZK 93423)

thesized from indoles.6 While inclusion of appropriate or modifiable substituents at the **C-5** and C-6 positions of 3-carboxy- β -carbolines merely requires use of a similarly substituted, generally accessible indole as starting material, the introduction of substituents at the C-4 position other than *via* aldimine chemistry (i.e., condensation of the aldehyde equivalent of the C-4 substituent desired with ethyl nitroacetate and then with indole) is much less satisfactory. No direct method of introducing a **C-4**

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substituent on a preformed 3 -carboxy- β -carboline nucleus is **known.**

Since a C-3 carboxyl group (ester or amide) on β -carbolines optimizes their interactions with the receptor, we thought of using this function to direct metalation (i.e., lithiation) and subsequent electrophilic substitution at the C-4 position.⁷ Although ortho-lithiation of benzamidetype substrates is a very efficient reaction, 8 the application of this ortho functionalization strategy to π -deficient heteroaromatics (e.g., pyridines, quinolines, diazines) has been hampered by evidence that these substrates, which have low LUMOs, undergo nucleophilic attack instead of proton abstraction by organolithium reagents. 9 However, Meyers, Katritzsky, and others¹⁰ have demonstrated that ortho-metalation techniques can be successful in the pyridine series if suitable, generally nitrogen-containing directing groups are utilized. Since the N-benzylcarboxamide group has been shown to be particularly satisfactory in this respect,^{10b} we chose to initiate our study of orthodirected lithiation of β -carbolines using this group at C-3 (Le., compound **5,** Scheme I). The latter was prepared from ethyl **9-N-methyl-@-carboline-3-carboxylate** (4),11J2 using the methodology described by Kishi and coworkers.¹³ Thus, trimethylaluminum (2) was treated with benzylamine in dichloromethane forming dimethylaluminum amide 3 which was then reacted with β -carboline **4** to give **5** in 95% yield. This new method of preparing **@-carboline-3-carboxamides** is more satisfactory than those previously published in terms of product yield and purity.^{14,15}

Treatment of compound **5** with sec-butyllithium in dry THF at -78 °C gave a dark purple solution which, when quenched with \bar{D}_2O ,¹⁶ yielded the 4-deuterio derivative 8 (Scheme 11), together with starting material **6** (obtained **as** an inseparable mixture). Product yield (the extent of deuteration) was first established by comparison of the

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⁽¹²⁾ Methylation of the N-9 position of **8-curboline3-carboxylatea** is **known** to seriously interfere with their binding to the benaodiazepine receptor.¹¹ It was nevertheless decided to perform preliminary metalation studies using the N-methylated derivative **5** in order to avoid untoward side reactions and electronic effects on the β -carboline nucleus as w to increase solubility in THF. Although methylation is not generally recognized as a practical way of blocking the indolic nitrogen atom, recognized as a practical way of blocking the indolic nitrogen atom, compound 5 can be efficiently demethylated using sequential benzoyl peroxide-sodium hydroxide treatment. See: Nakatsuka, S.; Asano, O.; Goto, T. Heterocy

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^{1986, 74,676.} (16) Spectroscopic-grade D_2O (>99.95% pure) must be used for this quenching experiment if deuterium incorporation is to be assured. The use of D_2O of lower purity (i.e., having a greater percentage of HDO) gave
very poor yields of deuterated compound 8 due to unfavorable isotope
effects.

mass spectrum of starting material **5** with that of the reaction product (i.e., $5 + 8$). While the former showed a strong molecular ion peak at $m/z = 315$, the product displayed, in addition to the 315 peak, a larger peak at $m/z = 316$, corresponding to the monodeuterated species. The ratio of these two peaks was 7525 in favor of the deuterated compound. Moreover, strong peaks at $m/z =$ 183 (deuterated heterocycle) and 182 (nondeuterated heterocycle) indicated that deuteration had indeed occurred on the ring rather than on the side chain. Con-

Although the above experiment demonstrated that C-4 directed ortho-metalation of 3-carboxy-8-carbolines was possible, complex mixtures of products were obtained when electrophiles other than D₂O (i.e., anisaldehyde, alkyl halides) were used. While other lithiated bases such **as** n-butyllithium, tert-butyllithium, or lithium diisopropylamide (with or without addition of TMEDA18) also gave disappointingly low yields of C-4 alkylation products in the presence of various electrophiles,¹⁹ metalation of 5 using methyllithium was much more satisfactory.^{10a} Thus, reaction of 5 with methyllithium for 2 h at -78 °C and then 20 min at 0 "C followed by addition of anisaldehyde gave 91 % of the C-4 substituted compound **10** (Scheme 111) with no traces of side products. Alcohol **10** was easily cyclized8b to the lactone **11** using *5%* sulfuric acid in acetonitrile or bypassage through a silica gel column. This further substantiates that electrophilic attack occurred at the C-4 position of the β -carboline.

The use of benzophenone **as** electrophile gave lactone **13** in 65% yield after chromatography on silica. In this case, none of the noncyclized precursor **12** could be isolated or detected by TLC. The steric bulk of the benzophenone electrophile may contribute to the somewhat lower yield obtained in this reaction **as** compared to when anisaldehyde was used.

Similarly, sequential treatment of **5** with methyllithium and DMF gave the cyclized derivative **15** as sole product in 93% yield. The structure of **15** was confirmed by a

(19) The **use** of other carboxamides **aa** orthedirecting group waa **also** investigated. Thus, when the anilide **18** waa treated sequentially with sec-butyllithium and with D₂O, only products arising from nucleophilic addition of the sec-butyl group to C-1 of the β -carboline could be isolated. These products included inseparable mixtures of compounds 19 and 20 as well as their unstable dihydro derivatives. The anilide group thus tends to favor C-1 addition to the detriment of C-4 substitution. The diisopropylamide derivative **21 also** gave only C-1 addition product **22** under these conditions with this time **no** evidence of **anion** formation at of the three β -carboline carboxamides used (i.e., 5, 18, and 21) were calculated using Mopac (version 6.00, MNDO parameters), and their LUMO energies were compared. A correlation waa found between the LUMO energies of each compound and the extent of nucleophilic addition of the metalating agent to C-1 **(aa** opposed to proton abstraction at C-4). Thus, the lower the energy of the LUMO of the starting β -carboline, the higher the percentage of product arising from C-1 addition of sec-
butyllithium (18,LUMO = -0.42eV, 50% nucleophilicaddition;21,-0.37
eV, 23% addition; 5, -0.33 eV, 20% addition). Moreover, the LUMO energies of all the β -carboline derivatives were substantially lower than
those of the similarly substituted pyridine derivatives (e.g., -0.15 eV for
 N -benzyl-2-pyridinecarboxamide and -0.13 eV for N ,
 N -diisoprop baee **haa** been shown to be minimal.'a Details of this work will be given in a forthcoming publication.

18 R = - NHPh
21 R = - N (CH $R = -N$ (CH(CH₃)₂)₂

⁽¹⁷⁾ The ¹H NMR spectrum of starting β -carboline carboxamide 5 showed two clearly separated singlets at δ 8.64 and 8.92 ppm, each showed two clearly separated singlets at *6 8.64* and 8.92 ppm, each integrating for one proton. These singleta, corresponding to **H-1** and H-4, were unambiguously attributed by 1D NOE difference NMR spectroscopy. Thus, irradiation of the NCHs resonance at *6* 3.89 had an NOE effect **on** the proton at *6 8.64* but not **on** that at 6 8.92. H-1, in cloeer proximity to the NCH₃ group than H-4, can be assigned the δ 8.64 resonance while H-4 must resonate at δ 8.92. Further substantiation of this attribution was obtained by a phase-corrected NOESY experiment which showed an NOE cross peak between NCH₃ at δ 3.89 and the proton at δ 8.64. Furthermore, a long-range coupling experiment (¹H⁻¹³C) indicated a cross peak between the carbonyl of the side chain at C-3 and the proton at δ 8.92 proving conclusively that the latter resonance belongs to H-4.
Since the ¹H NMR spectrum of the metalation/deuteration product mixture (5 + 8) showed that the intensity of the peak at δ 8.92 ppm had diminished by \sim 75% compared to the singlet at δ 8.64, it can be concluded that ortho-directed metalation did *occut* exclusively at the C-4 position, **as** expected. The ratio of **6** to **8, as** determined by NMR, is thus essentially the same **aa** that determined by mass spectmmetry (i.e., 2575). Since the mixture **5** + 8 comprised *80%* of the **total** product that waa isolated from this reaction sequence, the overall extent of lithiation **was** ⁴⁵% .

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'H-lH COSY experiment which showed a correlation between the two doublets arising from the nonequivalent benzylic protons. Moreover, these protons correlated with the benzylic carbon atom, **as** indicated by a 'H-I3C COSY experiment. **An** alternative structure **(17)** in which the oxygen atom of the C-3 carbonyl group of precursor **14** attacks the C-4 aldehyde function was also compatible with these NMR results but was rejected on the basis of both the infrared and '3C-NMR spectra which indicated the presence of a carbonyl group in this compound.

Finally, the anion of **5** generated by methyllithium reacted cleanly with an alkyl halide such **as** propyl iodide to give **16,** the spectral characteristics of which were completely compatible with C-4 alkylation.

In conclusion, the combination of methyllithium **as** base and 3-N-benzylcarboxamide **as** ortho-directing metalation group allows efficient substitution of 3 -carboxy- β -carbolines at the pharmacologically important C-4 position by a variety of electrophiles on a preparative scale. The great superiority of methyllithium over other lithiated bases in this reaction is not altogether clear but may be due to the small size of this reagent which permits more efficient coordination at the sterically encumbered C-4 position of β -carboline 5.9 Moreover, the fact that methyllithium does not promote competitive side reactions allows β -carboline anion formation at $C-4$, an apparently slow process, to proceed over several hours, thereby assuring complete conversion. This methodology represents a major improvement over the multistep procedures which have been used until now for the preparation of C-4-substituted β -carbolines and should, moreover, allow easy access to a large number of novel analogues. The use of other electrophiles together with the incorporation of a more easily removed blocking group at N-9I2 are presently under study.

Experimental Section

General Procedures. *All* melting points were determined in open capillary tubes and are uncorrected. Nuclear magnetic resonance spectra were recorded with a Bruker WP 200-MH2, Bruker **WP** 250-MH2, or AM 300-MHz spectrometer using CDCl, **as** asolvent. Integrations used to report deuterium incorporation were accurate to ± 0.03 proton, judging from the accuracy obtained from the integration of protons in pure compound. Chemical

shifts are reported in parts per million downfield from internal tetramethylsilane; coupling constants are given in hertz. Electron impact and chemical ionization mass spectra were recorded on **an** AEI MS-50 and **an** AEI MS-9 spectrometer, reapectively. **FAB** spectra were recorded on a Kratos MS 80RF instrument. Thinlayer chromatography was performed on Merck silica gel 60 plates with fluorescent indicator. The plates were visualized with W light (254 nm). *All* column chromatography was conducted on Merck **60** silica gel (230-400 mesh) at medium pressure (200 mbar). Elemental analyses were performed at the ICSN/CNRS, Gif-sur-Yvette. IR spectra of samples were obtained **with** a Nicolet 205 **FT-IR** spectrometer either **as** KBr pellets or **as** neat films. THF was dried by distillation under nitrogen from sodium/ benzophenone ketyl radical. Commercial sec-butyllithium **so**lution in cyclohexane-hexane (Janssen Chimica) and methyllithium in diethyl ether (Aldrich Chemical Co.) were titrated using the Watson-Eastham procedure²⁰ before use. The alkyllithium solutions were stored at -10 °C in a serum-capped bottle inside a plastic bag containing Drierite. Benzylamine was dried over KOH pelletsfor 24 hand then distilled. Trimethylaluminum and D20 (spectroscopic grade, 99.96 % pure) were purchased from Aldrich Chemical Co. and were used without further purification. The remaining electrophiles were obtained commercially and were purified before use: Anisaldehyde was washed with aqueous NaHCO₃ solution and water, dried over Na₂SO₄ and distilled under reduced pressure; benzophenone was recrystallized from ethyl acetate-heptane; propyl iodide was washed with aqueous NazSOssolution, dried over silicagel, and distilled under reduced pressure; DMF' was dried over silica gel, distilled under reduced pressure, and stored over freshly activated 4-A molecular sieves for 24 h before use.

Preparation of 9-N-Methyl-3-N-benzyl- β -carboline-3-carboxamide **(5).** To a solution of trimethylaluminum **(2)** (7.9 **mL** of a 2 M solution in hexane; 15.8 mmol) in anhydrous dichloromethane (100 mL) held under nitrogen at -10[°]C was slowly added benzylamine (0.86 mL, 7.9 mmol). The cooling bath was removed 2Omin after completion of the addition, and the reaction mixture (Le., of 3) was allowed to come to room temperature over 45 min. A solution of ethyl **9-N-methyl-8-carbol-3-carboxylate** $(4)^{11}$ $(2.01 \text{ g}, 7.9 \text{ mmol})$ in dichloromethane (20 mL) was then added to the reaction mixture, and the latter was refluxed for 9 h. The solution was cooled to room temperature, and aqueous HC1 (0.67 M) was added cautiously until a solid began to precipitate. The mixture was allowed to stir for 30 min after which the solid was collected by filtration and crystallized from ethyl acetate-heptane to give 8-carbolinecarboxamide **5** in 95 % yield, mp 162 °C: ¹H NMR (CDCl₃, 200 MHz) δ 3.89 (3H, s), 4.73 $(2H, d, J = 6.0 \text{ Hz})$, 7.32 (7H, m), 7.58 (1H, t, $J = 8 \text{ Hz}$), 8.17 (1H, d, $J = 8$ Hz), 8.47 (1H, bs, exchangeable with D₂O), 8.64 (1H, s), 8.92 (lH, **e); IR** (KBr) 1628,1662,2937 cm-'; EIMS *m/z* 315 (M+), for $C_{20}H_{17}N_3O_3.0.5H_2O$: C, 74.07; H, 5.24; N, 12.96. Found: C, 73.37; H, 5.46; N, 12.67. 272 (M - NH = CO)+, 210 **(M** - PhCH=NH)+, 182. Anal. Calcd

Deuteration of 5 Using sec-Butyllithium and D₂O. To a flame-dried, septum-capped, and nitrogen-flushed round-bottom flask was added β -carbolinecarboxamide **5**, dissolved in anhydrous THF. A continuous flow of nitrogen was maintained over the stirred mixture which was cooled externally with a dry ice-acetone bath. When **an** internal temperature of -78 **"C** was attained, a 1.3 M solution of sec-butyllithium in cyclohexane-hexane (5 molar equiv) was added by syringe over a period of 10 min. The deep purple solution was allowed to stir for 15 min at -78 °C after which D_2O^{16} (5 molar equiv) was added dropwise. The solution was then stirred for 2 h at -78 °C, the cooling bath was removed, and stirring was continued for 20 min before distilled water was added. The organic layer was separated from the aqueous layer, the latter was extracted with ethyl acetate, and the combined organic extracts were concentrated under vacuum. The residue was dissolved in ethyl acetate and washed with water. The organic phase was dried with magnesium sulfate, the solvents were removed under reduced pressure at 20° C, and the resulting crude product was purified by flash chromatography on silica gel using ethyl acetate-heptane (23) **as** developer. Compounds **6** and **8** were first eluted together (60% yield). The 1H NMR spectrum

of the mixture was identical to that of pure **5,** except that the integration of the one proton singlet at δ 8.92 (H-4) had diminished **by75%:17EIMSm/z316(M+of8,75),315(M+ofS,25),273** (316 $NH=C=O⁺$, 75), 272 (315 - NH= $C=O⁺$, 25), 183 (316 - PhCH₂-NHCO)⁺, 182 (315 - PhCH₂NHCO)⁺.

Further elution gave compound **9 as** a colorless oil which crystallized on standing in the cold (18%), mp 125 °C: ¹H NMR Hz), 1.95 (2H, m), 2.22 (1H, m), 3.95 (3H, s), 4.70 (2H, d, $J = 6.0$ Hz), 7.29–7.44 (6H, m), 7.51 (1H, d, $J = 8.0$ Hz), 7.63 (1H, t, J $= 8.0$ Hz), 8.01 (1H, bs, exchangeable with D₂O), 8.40 (1H, d, J = 8.0 Hz), 8.64 (1H, s); IR (KBr) 1656, 1619, 1579 cm⁻¹; EIMS m/z 371 (M⁺). Anal. Calcd for C₂₄H₂₅N₃O-0.7H₂O: C, 75.05; H, 6.51; N, 10.94. Found: C, 74.99; H, 6.66; N, 10.67. (CDCl₃, 250 MHz) δ 0.91 (3H, t, $J = 7.5$ Hz), 1.70 (3H, d, $J = 7.5$

Metalation Using Methyllithium. General Procedure. To a flame-dried, septum-capped, and nitrogen-flushed roundbottom flask was added @-carbolinecarboxamide **5,** dissolved in anhydrous THF. A continuous flow of nitrogen was maintained over the stirred mixture which was cooled externally with a dry ice-acetone bath. When an internal temperature of -78 °C was attained (20 min), a 1.6 M solution of methyllithium in diethyl ether (5 molar equiv) was added by syringe over a period of 10 min. The reaction mixture developed a pale blue color 5-10 min after complete addition of methyllithium. The solution was stirred for 2 h at -78 °C, and the cooling bath was then replaced by an ice-water bath. After 20 min, during which time the solution had become dark blue, the electrophile (5 molar equiv) was added dropwise over a period of $7-10$ min, and the reaction mixture was stirred at 20 °C until the blue color had completely disappeared (10 min -4 h). The solution was then cooled to 0° C, and distilled water was slowly added such that the internal temperature of the reaction mixture never exceeded 5 $^{\circ}$ C. The solution was concentrated to half volume under reduced pressure, excess chloroform was added, and the mixture was washed with water $(2x)$, the organic phase was dried $(Na₂SO₄)$, and the solvents were removed *in vacuo* (20 Torr/20 °C). The residue was purified **as** described below for each electrophile.

Electrophiles. (a) Anisaldehyde. Compound **10** (91 *5%)* was obtained by crystallization of the crude reaction product from ethyl acetate-heptane, mp 169-170 "C (ethyl acetate-heptane): IR (KBr) 1615,1641,2937,3369 **cm-l;** FAB(+ve)MS *m/z* $(2H, d, J = 6.0 \text{ Hz})$, 6.79 (2H, d, $J = 8.0 \text{ Hz}$), 7.15 (1H, d merged with a m), 7.23 (7H, m), 7.61 (3H, t merged with a d, $J = 8.0$ Hz), 8.02 (1H, d, $J = 10.0$ Hz, exchangeable with D_2O), 8.18 (1H, d, $J = 8.0$ Hz), 8.75 (2H, bm merged with s , m exchangeable with D₂O). Anal. Calcd for C₂₈H₂₆N₃O₃.0.5H₂O: C, 73.04; H, 5.43; N, 9.13. Found: C, 72.86; H, 5.58; N, 8.90. 452; 'H NMR (CDCl3,200 MHz) 6 3.76 (3H, **s),** 4.02 (3H, **s),** 4.60

Cyclization of Compound 10. A solution of the alcohol **10** (50 mg) in a 5% solution of sulfuric acid in acetonitrile (10 mL) Notes

was stirred overnight at room temperature. The reaction mixture was then extracted with ethyl acetate (3 **X** 10 mL), and the combined organic extracts were washed successively with saturated aqueous sodium hydrogen carbonate and water. The organic phase was dried over sodium sulfate, the solvents were removed *in uacuo,* and the residue was crystallized from ethyl acetate-heptane to give lactone 11 (33 mg, 88%), mp 233 °C (ethyl acetate-heptane): IR (KBr) 1771 cm-l; EIMS *mlz* 344; 'H NMR (CDCls, 250 MHz) **6** 3.78 (3H, **81,** 4.10 (3H, **81,** 6.87 (2H, d),7.23 **(4H,m),7.59(2H,dmergedwitht,** J= 8.0Hz),8.72(1H, **s**), 9.16 (1H, **s**). Anal. Calcd for C₂₁H₁₆N₂O₃·O.4H₂O: C, 71.75; H, 4.65; N, 7.97. Found: C, 71.79; H, 4.94; N, 8.08.

(b) Benzophenone. The crude reaction mixture was purified by column chromatography on silica gel at atmospheric pressure using ethyl acetate-heptane (23) **as** developer to give lactone 13 in 65% yield after crystallization from methanol, mp $>$ 310 °C: 1H NMR (CDCl3, 250 MHz) **6** 4.12 (3H, **s),** 7.09-7.35 (6H, m), 7.49 (5H, m), 7.55 (3H, m), 9.19 (lH, *8);* IR (KBr) 1763,1645 cm-l; EIMS m/z 390 (M⁺). Anal. Calcd for $C_{28}H_{18}N_2O_2 \cdot 0.2 H_2O$: 79.26; H, 4.67; N, 7.11. Found: C, 79.03; H, 4.80; N, 7.05.

(c) NJV-Dimethylformamide. Lactam **15** was obtained by crystallization of the crude reaction product from methanol (93 % yield), mp 255-256 OC: **lH** NMR (DMSO-&, 250 MHz) **6** 4.09 (3H, s), 4.52 (1H, d, $J = 15$ Hz), 5.03 (1H, d), 6.18 (1H, d, $J = 9.5$ Hz), 7.06 (1H, d, exchangeable with D₂O), $7.28-7.41$ (6H, m), 7.70 (1H, dt, $J = 7.0$ and 1.0 Hz), 7.81 (1H, d, $J = 8.0$ Hz), 8.30 78.2, 110.4, 118. 7, 120.3, 122.4, 124.4, 127.1, 127.8, 128.5, 128.8, **132.6,134.1,137.7,137.9,139.0,141.9,165.9;IR** (KBr) 3271,1705, 1629, 1499 cm-l; EIMS *m/z* 343 (M+). Anal. Calcd for $C_{21}H_{17}N_3O_2.0.5H_2O$: C, 73.47; H, 4.96; N, 12.24. Found: C, 73.27; H, 4.94; N, 12.21. (lH, d), 9.20 (lH, 8); '3C NMR (DMSO-&, 300 MHz) **6** 29.7,42.4,

(d) Propyl Iodide. The crude reaction product, in which starting material **5** was the only contaminant, was purified by preparative-scale HPLC using a Novapak 6 μ M C-18 reversedphase column $(25 \times 100 \text{ mm})$ and methanol-water $(85:15)$ as developer at a flow rate of 7 mL/min to give compound 16 in 68% yield, mp 151-152 OC: **1H** NMR (CDCls, 300 MHz) **6** 1.23 (3H, $t, J = 5.0$ Hz), 1.91 (2H, m), 3.85 (2H, dd, $J = 8.0$ Hz and 5.0 Hz), 3.97 (3H,s),4.71(2H,d, **J=6.0Hz),7.29-7.44(6H,m),7.51** (lH, d, $J = 8.0$ Hz), 8.27 (1H, d), 8.63 (2H, *8* merged with D₂O exchangeable m of NH); IR (film) 1624, 1666 cm-l; HREIMS 357.1862 (calcd for $C_{23}H_{23}N_3O$ 357.1841).

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